Please note the text space limits within the application fields. If you would like to provide images or figures, please submit one additional PDF page (optional Appendix) with your e-mail submission. All forms must be submitted by February 23, 2021. E-mail completed application form(s) to NCIsbirEvents@mail.nih.gov. Review "Application Guide for Investor Initiatives" for additional instructions.

☐ **By checking this box, I provide permission to share this application with non-federal investors and reviewers.** (*Please note that your application will be reviewed by an external panel of industry personnel and investment professionals. Only provide non-confidential data that can be shared with investors.*)

NCI SBIR Grant or Contract Number for this product/technology: _____ *(if you have multiple awards related to this technology/product, list the product you wish to be the focus of this application.)*

NCI SBIR Program Director: ○ Christie Canaria ○ Greg Evans ○ Jonathan Franca-Koh ○ Kory Hallett ○ Nancy Kamei ○ Andrew Kurtz ○ Jian Lou ○ Deepa Narayanan ○ Monique Pond ○ Amir Rahbar ○ Ashim Subedee ○ Patricia Weber ○ Ming Zhao

COMPANY INFORMATION

Official Company Name: _____

Company Website: _____

Company Mailing Address: _____

Total Number Full-time Employees:_____ **Total Number Part-time Employees:**_____

Company CEO : _____

Phone: _____ E-mail: _____

Contact Person for this Application: _____

Title/Role: _____

Phone: _____ E-mail: _____

What year was the company founded: _____

Do you plan to apply for a Bridge Award in the next 12 months? ☐ Yes ☐ No ☐ Bridge Recipient

EXECUTIVE SUMMARY *(Please provide a short summary of your company & technology – limit 2400 characters at 9.5pt font)*

1. TECHNOLOGY/PRODUCT OVERVIEW:

Type of Product/Technology: *(Select **one** most relevant option)*

- ☐ Small molecule
- ☐ Surgical or Ablative device
- ☐ Imaging device
- ☐ In vitro diagnostic
- ☐ Research tool
- ☐ Biologics/Vaccine
- ☐ Hospital device
- ☐ Imaging agent
- ☐ Bioinformatics/Health IT/Digital health
- ☐ Cell/Gene therapy
- ☐ Drug delivery device

Technology Description: *(Please provide a short, one to three sentence, description of your technology)*

Current R&D Status:

- ☐ b2yπŏtλyλŏl·t ŭŜŏKy2t2∃è λyˉLJW2ŭ2ŭèLJŜ ŘŜØŜt2LJYˉŜyŭ
- ☐ Non-clinical technology in full development/testing stage
- ☐ Pre-clinical development
- ☐ In clinical trials:
  - ☐ Phase I
  - ☐ Phase II
  - ☐ Phase III
  - ☐ Early Feasibility
  - ☐ Feasibility/Pilot
  - ☐ Pivotal
- ☐ Commercially available
- ☐ Other (Please explain):

Technology/Product Overview Narrative. ***Please describe the technology/product that was funded under the NCI SBIR program, its application, and "how it works." Include the current or potential impact of your product or technology on cancer patients, including the likelihood of clinical adoption and fit with existing practice. Limit your response to 3600 characters at 9.5pt font.) You may refer to any figures or images attached in the one-page appendix.***

2. Scientific Progress & Stage of Development:

FDA Application: ☐ PMA ☐ 510(k) ☐ IDE ☐ BLA ☐ IND ☐ NDA ☐ Not applicable (no approval needed)
Current Status: ☐ Not yet submitted ☐ Submitted ☐ Approved
Reimbursement Planning: ☐ Not started reimbursement planning ☐ Identification of CPT codes ☐ Technology Add-ons
☐ Insurance Coverage ☐ CMS Processes ☐ Other, (described in write-up below)

Stage of Development Narrative. *Please describe the current stage of development, major technical milestones achieved to date, and how they suggest clinical efficacy. Describe your progress toward the commercialization of the product and comment on how your product/prototype can be scalable and reproducible in a commercial environment. Also, please give a brief overview on the regulatory & reimbursement strategy for the product under development, including an update on current and imminent regulatory applications, approvals, and hurdles. Please limit your response to 2700 characters at 9.5pt font. You may refer to any figures or images to be included in the* ***one-page*** *Appendix PDF.*

3. Market Description and Pipeline Products:

Please describe the market for the product under development, providing market size and projected market growth, if available. Explain how your product fills a market niche and addresses an unmet need. Describe current and potential future commercial applications, including new products in development, and market segments. Limit 1600 characters at 9.5pt font.

4. Competitive Advantage:

Please list/describe any unique attributes or competitive advantages (including IP, invention reports, trademarks, copyrights) the product has in the market over both current products and competing technologies under development. Limit 2400 characters at 9.5pt font.

Intellectual Property:

List any patent or patent applications. *(Please enter numeric values where applicable. Feel free to expand on IP in your additional one page.)*

Status (Filed, Provisional, Approved)	**Number**	**Title**	**Date of Filing**

List any invention reports, trademarks, or copyrights. *(Please enter numeric values where applicable.)*

	# Filed	**# Approved**	**Subject matter or name of mark**
Invention Reports			
Trademarks			
Copyrights			

5. Publications, Presentations, and Awards:

Please list the most significant past and pending publications, presentations, and awards based on the company's technology. Limit 1500 characters at 9.5pt font.

6. Management:

Name, Title/Role	Domain expertise	Value Added/Previous Success

Management Narrative. *Describe the team or management structure, highlighting years of experience, background, and relevant previous success, experience, and domain expertise. You may provide additional information, as necessary. Limit 1500 characters at 9.5pt font.*

7. Business Development and Partnerships:

Please list and specify any current or pending partnerships (e.g., strategic partner, licensing partner, manufacturing, distribution, technical collaboration, etc.) the company currently has related to the full commercialization of this product. Please also indicate what kind of partnerships you are seeking and the timing for seeking those partnerships. Please list company spin-offs, if any. Limit 1500 characters at 9.5pt font.

8. Financial Overview:

Capital Raised to Date: Please fill out the table below with information about capital that the company has raised since inception (include pre-incorporation awards, grants, private equity, venture capital, strategic partners, IPO, etc.):

Date	Type (grant, angel, venture, etc)	Source(s)	Amount	Additional Comments
8/2013	*Series A*	*Generic Biotech Venture*	*$3.5M*	Example

Financial Overview Narrative. *Describe funding received to develop your technology. List the revenue per year for the last 3 years. Also list projected revenue for the SBIR funded product/service (such as product revenues. consulting or licensing fees) and expected timeframes. How much funding are you currently seeking and what is your timeline for seeking funds? What do you plan to accomplish with these funds? Limit 1500 characters at 9.5pt font.*

9. Company Milestones:

Please list major technical and commercial milestones ***expected over the next 24 months.***

Milestone	Date

10. What is your vision for the company's future? (Check only one)

- ☐ Stand-alone company mostly based on government R&D grants and contracts
- ☐ Stand -alone company mostly based on licensing revenue and/or commercial (R&D) contracts
- ☐ Stand-alone company mostly based on commercial sales of products or services
- ☐ Merge with, or be acquired by, another company
- ☐ Initial Public Offering – IPO
- ☐ Unsure

11. What would you like to get from your participation in this program?

- ☐ Seeking strategic partner (large business)
- ☐ Seeking Investment
- ☐ Seeking scientific collaborators
- ☐ Other: (describe)
- ☐ Customer discovery
- ☐ Increasing company visibility and exposure
- ☐ Gaining insights into competition and/or IP landscape
- ☐ Validating business model

12. Select the top 3 meetings/forums that you would like to participate in that are best suited for your technology/ product/service (We will try our best to match you with one of your selected conferences but it is not guaranteed.)

- ☐ Angel Capital Association Summit
- ☐ BIO International Convention
- ☐ BIO Investor Forum
- ☐ Biocom's Global Life Science Partnering Conference
- ☐ BioNetwork
- ☐ Biotech Showcase
- ☐ HIMSS Global Conference & Exhibition
- ☐ Life Sciences Summit
- ☐ Medical Alley Innovation Summit
- ☐ MedTech Strategist Innovation Summit
- ☐ Precision Medicine World Conference
- ☐ RESI Boston
- ☐ RESI NY
- ☐ RESI Philadelphia
- ☐ RESI San Francisco or San Diego
- ☐ The MedTech Conference (Powered by Advamed)
- ☐ WSGR Medical Device Conference
- ☐ Other (please specify):

13. Was your company selected to present in 2020?

◯ yes ◯ no

If yes, use a 1-page appendix to explain why you are re-applying and include details about progress since participating previously

ALL APPLICANTS

We encourage you to include a 1-page appendix to expand on any of the above sections or to include graphs, images, and/or charts. Please refer to page 3 of the application guidance document for further application instructions.